

1 Combining several indicators to assess the 2 effectiveness of tailor-made health plans in 3 pig farms

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14 **Abstract**

15 A tailor-made health plan is a set of recommendations for a farmer to achieve and maintain a
16 high health and welfare status. Tailored to each farm, it is intended to be an effective way of
17 triggering change. This study aimed to assess the effectiveness of tailor-made health plans in
18 pig farms, designed in various situations after a systematic biosecurity and herd health audit.
19 An intervention study was carried out in 20 farrow-to-finish pig farms. An initial standardized
20 audit and discussion between the farm veterinarian and the farmer resulted in a specific plan.
21 Compliance with recommendations was monitored 8 months. Changes in health,
22 performances and antimicrobial use were monitored. We defined two categories of plans: i)
23 14 plans targeting a given health disorder present in a farm; ii) 17 plans to improve prevention,
24 not targeting a specific disorder (one farm could have both types of plans). A small number of
25 priority recommendations were made per farm. In 18 farms, farmers implemented 1 to 4
26 recommendations (none in 2 farms). Of the 17 non-disorder-specific plans, 11 were
27 considered effective (>50% recommendations implemented), 3 intermediate (at least one but

28 less than half of the recommendations implemented) and 3 ineffective (no implementation).
29 Of the 14 disorder-specific plans, 9 were followed with full or good compliance (>50%
30 recommendations implemented), 2 with intermediate compliance (1 recommendation
31 implemented out of 2) and 3 with no compliance (no recommendation implemented). When
32 at least one recommendation was implemented, change in clinical, performance and
33 antimicrobial use indicators was assessed if a biological association with the disorder was
34 deemed plausible and if their initial value showed room for improvement. Improvement was
35 evidenced 4/9, 1/6 and 1/6 times for these indicators, respectively. Independently,
36 veterinarians concluded in effectiveness for 8/14 plans. Overall, tailor-made health plans were
37 effective in triggering changes in farm management. Three key points were identified for
38 future assessments of the effectiveness of tailor-made health plans. Compliance should be the
39 first indicator of assessment. Outcome indicators and their monitoring periods should be
40 adapted to each farm and to the targeted health disorder. Indicators should be combined to
41 have a holistic description of the evolution of a health disorder. Further research is needed to
42 identify how to select indicators to combine and how to combine them, according to health
43 disorders.

44 **Key words:** health plan, tailor-made, pig farms, effectiveness, assessment, indicators

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47 Introduction

48 Achieving and maintaining a high pig health status is essential for pig farm sustainability. Keeping
49 healthy pigs in farms can avoid major economic losses at a farm level but also for the pig industry thanks to
50 improved performances, reduced mortality and treatment costs (Maes et al., 2018; Nathues et al., 2017).
51 For instance, Porcine Reproductive and Respiratory Syndrome virus (PPRSv) cost for the pig industry in the
52 US was estimated at \$664 million annually (Holtkamp et al., 2013). Infectious diseases are very frequent in
53 pig farms and their prevention and cure contribute to animal welfare (Fraser et al., 1997; OIE, 2021), which
54 is a major concern for citizens (Alonso et al., 2020).

55 In pig farms, vaccination and biosecurity are the two main tools to prevent infectious diseases.
56 Biosecurity is the application of measures aiming to reduce the risk of introduction and spread of pathogens
57 (Alarcón et al., 2021). Biosecurity is frequently raised with farmers, with increased concern since the risk of
58 African swine fever spread in Europe (Dixon et al., 2019). The prevention of the introduction and the spread
59 of pathogens in farms refer to external and internal biosecurity, respectively. Biosecurity measures refer to
60 segregation, hygiene, or management procedures excluding medically effective feed additives and
61 preventive/curative treatment of animals (Huber et al., 2022). Biosecurity audits can be performed
62 considering all the possible biosecurity measures or only the ones related to a specific disease (Silva et al.,
63 2018). Biosecurity audits may lead to the formulation of recommendations by veterinarians targeting the
64 unimplemented biosecurity measures that are considered essential in the farm's situation.

65 Recommendations of veterinarians aim at improving a health status or at preventing its potential
66 deterioration. However, no health improvement can be expected if farmers do not comply with formulated
67 recommendations. Farmers may – or may not - comply with recommendations according to the cost of the
68 measures (Alarcon et al., 2014), the amount of work required (Garforth et al., 2013), the risk perception
69 they have (Simon-Grifé et al., 2013) or their personality traits (Delpont et al., 2021; Racicot et al., 2012).
70 Furthermore, farmers are more likely to comply with recommendations when they perceive their benefits
71 (Garforth et al., 2013; Renault et al., 2021; Valeeva et al., 2011). Veterinarians thus face the challenge to
72 formulate recommendations that are perceived relevant by farmers.

73 Tailor-made health and welfare plans include farm-specific recommendations adapted to the farm
74 context and are more likely to meet farmers' objectives (Bard et al., 2019; Blanco-Penedo et al., 2019;
75 Garforth, 2015; Kristensen and Jakobsen, 2011; Lam et al., 2011). They are formulated by herd veterinarians
76 after analysing the specific farm context (*i.e.* health situation, risks, performances and socio-economic
77 situation). In dairy cow studies, tailor-made health plans aimed at improving different health conditions
78 that could differ between farms (*e.g.* udder health, reproduction or locomotor disorders) (Duval et al., 2018;
79 Ivemeyer et al., 2012; Sjöström et al., 2019; Svensson et al., 2019; Tremetsberger et al., 2015). In pig and
80 poultry studies, all tailor-made health plans aimed primarily at reducing antimicrobial use, without

81 jeopardizing health, technical or economic performances (Collineau et al., 2017; Postma et al., 2017; Raasch
82 et al., 2020; Rojo-Gimeno et al., 2016; Roskam et al., 2019). The assessment of the effectiveness of health
83 plans is necessary to provide feedback on their benefits to farmers and herd veterinarians. However,
84 neither a clear definition of the effectiveness of a health plan nor a reference method to assess it have been
85 **stated** so far.

86 In order to assess the effectiveness of a tailor-made health plan, Tremetsberger and Winckler
87 (2015) proposed to consider “the degree of implementation [...] as a measure of success” and to monitor
88 indicators related to health evolutions. A tailor-made health plan mainly aims to improve herd health, and
89 other parameters may evolve jointly (e.g. drug use, productivity). In on-farm pig studies, the effectiveness
90 was assessed considering the decrease of antimicrobial use combined with an absence of deterioration of
91 i) disease incidence, ii) net farm profit per sow per year or iii) technical performances (Collineau et al., 2017;
92 Postma et al., 2017; Raasch et al., 2020). No study combined all these types of indicators. A holistic
93 description of the effectiveness of tailor-made health plans thus requires to combine several
94 complementary indicators.

95 This study aimed at assessing the effectiveness of tailor-made health plans in pig farms, designed
96 in a variety of situations after a systematic audit on biosecurity and herd health. In an intervention study,
97 tailor-made health plans were developed **with a monitoring of** compliance with recommendations, health,
98 technical performances and antimicrobial use. We here assumed that a combination of compliance
99 assessment and of several indicators at farm scale can be appropriate to assess the effectiveness of farm
100 specific health plans. Since there is no reference method to assess effectiveness, seven methods were used
101 and compared to identify key points for developing future assessments in farms.

102

103

Material and Methods

104 Intervention study design

105 An intervention study was conducted in 20 farrow-to-finish French pig farms with the aim to assess
106 the effectiveness of Tailor-Made Health Plans (TMHP). Figure 1 provides a synthetic overview of the study
107 design. The intervention in each farm was based on the collection of a set of data during an initial farm visit,
108 leading to the formulation of recommendations by veterinarians at the end of the visit. Collected data were:
109 i) results of a systematic biosecurity audit, ii) description of management practices not related to biosecurity
110 (including other measures promoting health than biosecurity, feeding, housing and reproduction), iii)
111 observed clinical signs at every physiological stage, iv) past records of health disorders, v) antimicrobial
112 purchases during the previous year and vi) records of technical performances during the previous year. A
113 TMHP was a set of tailor-made recommendations formulated by the veterinarian, **at the farm scale** aiming
114 at improving pig health. Three visits were included in a prospective longitudinal study to initiate and follow-

115 up the TMHP: i) visit 1 was performed to describe the initial farm context by collecting data then to
116 formulate recommendations, ii) visit 2 was performed to assess compliance with recommendations
117 formulated at visit 1, iii) visit 3 was performed to collect the same data as at the visit 1 and carry out an
118 update on compliance. After the visit 3, the opinion of the farm's veterinarian was asked with regard to the
119 evolution of the health situation in the farm. Standardized indicators were calculated for health, technical
120 performances and antimicrobial use. Indicators were estimated at visits 1 and 3 to assess possible
121 evolutions. The effectiveness of TMHP was assessed after visit 3 with seven methods relying on compliance
122 with recommendations, evolutions of indicators and veterinarians' opinion. Visit 2 and 3 occurred around
123 four and eight months after visit 1 respectively. Farms were visited between December 2020 and December
124 2021.

125 **Farm recruitment**

126 Twenty farrow-to-finish pig farms were recruited in western France. Veterinarians from 10
127 different practices were asked to recruit farms in which the formulation of a TMHP was deemed useful to
128 improve biosecurity or animal health. A total of 14 veterinarians selected 20 farms (six veterinarians
129 selected two farms).

130 **Biosecurity audit**

131 A biosecurity audit was conceived for the HealthyLivestock project and was named BiosEcurity risk
132 Assessment Tool (BEAT; see Appendix; for the poultry farm version of the BEAT, see Schreuder et al., 2023).
133 The objective of the BEAT was to describe systematically implemented vs non-implemented biosecurity
134 measures, and to identify the ones needing improvement and considered as critical by the veterinarian for
135 a given farm. The BEAT was conceived considering three farm zones (FAO, n.d.): i) public: outside the
136 professional zone, ii) professional: zone dedicated to the movement of authorized persons and vehicles and
137 the storage or transit of incoming and outgoing products, iii) herd: livestock zone with housing facilities.
138 Transitions between zones were also considered: transition 1, from the public zone to the professional zone
139 and transition 2, from the professional zone to the herd zone. A total of 97 biosecurity measures were
140 assessed and distributed in the five zones: public (n=12), transition 1 (n=24), professional (n=12), transition
141 2 (n=19) and herd (n=30). Internal and external biosecurity were assessed considering introduction and
142 circulation of pathogens through i) neighbourhood activities, ii) external vehicles, iii) rendering
143 management, iv) visitors, v) staff, vi) farm animals, vii) wildlife, viii) feeding, ix) unnecessary access, x)
144 manure management, xi) cleaning-disinfection, xii) purchases and xiii) shared equipment. In a few farms,
145 some biosecurity measures were not relevant in their given context and were thus not assessed (for
146 instance quarantine for farms with self-replacement of gilts).

147 Each initial audit was systematically performed through i) a face-to-face interview with the farmer,
148 the farm veterinarian and the first author, and ii) a farm inspection (visit 1). The audit was repeated at visit

149 3 by the first author through a face-to-face interview with the farmer and a farm inspection. Results of the
150 audits were recorded in an Excel template (available from the authors upon request). A biosecurity measure
151 was scored 1 when implemented and 0 otherwise.

152 **Monitoring of indicators**

153 Indicators were recorded or calculated to summarize clinical observations, technical performances
154 and antimicrobial use before and after the intervention (Table 1). The monitored period depended on the
155 indicator considered. Clinical indicators were calculated at visits 1 and 3 whereas technical performance
156 and antimicrobial use indicators were cumulative over a period of one year (see below).

157 *Clinical observation*

158 Clinical indicators were designed before the visits and based on i) their ability to measure an
159 improvement in biosecurity and ii) their specific association with infectious diseases likely to be present in
160 pig farms in the study area. Respiratory and digestive disorders were systematically investigated at visit 1
161 and visit 3. Cough and sneeze counts were used to assess respiratory disorders. **Feces** scoring was used to
162 assess digestive disorders. Different physiological stages were observed (*i.e.* a total of six stages: i) gestating
163 sows, ii) suckling piglets, iii) the youngest batch of weaned piglets, iv) the oldest batch of weaned piglets
164 before entering the fattening unit, v) the youngest batch of fattening pigs and vi) the oldest batch of
165 fattening pigs before being sent to the slaughterhouse).

166 *Technical performances*

167 Technical performance data were collected from farm records. Data were collected for i) the year
168 preceding the intervention and ii) the on-going year period. The **the** average daily gain (ADG) and the feed
169 conversion ratio (FCR) in the wean-to-finish period, the mortality rate in post-weaning and fattening units,
170 and the number of piglets weaned/sow/year (PWSY) were selected to cover the whole production cycle.

171 *Antimicrobial use*

172 Antimicrobial use was assessed with Defined Daily Dose for animals (DDDvet; European Medicines
173 Agency, 2015). DDDvet were calculated from antimicrobial purchase data of the farm. DDDvet were
174 calculated for sows, suckling piglets, weaners and fatteners for the year preceding the intervention and for
175 the on-going year.

176 **Collection of health documents**

177 Past records of health disorders and vaccination protocols were collected from the veterinarians
178 before the visit 1. Veterinarian reports, performed at least once a year per farm, were systematically
179 collected for the year preceding the intervention. Reports of laboratory analyses or of lesions observed at
180 the slaughterhouse were collected when available.

181

182 **Formulation of Tailor-Made Health Plan**

183 A Tailor-Made Health Plan (TMHP) was defined as a set of tailor-made recommendations at farm
184 scale. Recommendations could be biosecurity measures that were **unimplemented** and prioritized by
185 veterinarians considering the farm context (Levallois et al., 2022). Other recommendations than biosecurity
186 measures could be formulated considering the farm context and in particular the presence of health
187 disorders. Recommendations were recorded systematically by the first author.

188 We defined two distinct types of TMHP with: i) measures recommended to improve one specific
189 targeted health disorder present in the farm (thereafter named $TMHP_{disorder}$) or ii) measures recommended
190 to prevent pathogen introduction or circulation not targeting a specific disorder (thereafter named
191 $TMHP_{prev}$). In the perspective of the assessment, we considered that only one single health disorder was
192 targeted per $TMHP_{disorder}$. If several distinct health disorders were targeted in one farm, several $TMHP_{disorder}$
193 were distinguished. Therefore, for a given farm, veterinarians could either formulate i) one $TMHP_{disorder}$, ii)
194 several $TMHP_{disorder}$, iii) one $TMHP_{prev}$, iv) one $TMHP_{disorder}$ and one $TMHP_{prev}$ or v) several $TMHP_{disorder}$ and
195 one $TMHP_{prev}$.

196 **Assessment of compliance with recommendations**

197 Compliance with recommendations was assessed by the first author through face-to-face
198 interviews with farmers at the visit 2, that occurred around four months after visit 1. TMHP
199 recommendations were reminded to farmers. Then, farmers were asked if each recommendation had been
200 implemented or not. If not, a reason to explain the absence of compliance was systematically asked to
201 farmers and recorded in writing. An update on compliance was carried out at the visit 3 with the same
202 method, around eight months after visit 1. Observations by farm inspection were performed during farm
203 visits 2 and 3 to double check the compliance assessment when it was possible.

204 **Categorisation and evolution of indicators**

205 We considered that indicators could improve only if there was **a** room for improvement at **the** visit
206 1. Cut-off values were defined to determine the presence of **a** room for improvement for each indicator
207 (Table 2). Cut-off values for clinical indicators were defined by considering i) the distributions of observed
208 values in all physiological stages and ii) past records of respiratory and digestive disorders in farms. These
209 cut-off values led to three categories of severity: i) mild, ii) moderate and iii) severe (Table 1). **A number of**
210 **coughs (or sneezes) / 2 minutes / 100 animals < 1 was observed in all farms where no respiratory disorders**
211 **were reported. A count lower than 1 cough (or sneeze) / 2 minutes / 100 animals was categorized as mild.**
212 **A number of coughs (or sneezes) / 2 minutes / 100 animals > 5 was observed in all farms where important**
213 **respiratory disorders were reported. A count higher than 5 coughs (or sneezes) / 2 minutes / 100 animals**

214 was categorized as severe. A count between 1 and 5 coughs (or sneezes) / 2 minutes / 100 animals was
215 categorized as moderate. An absence of feces scores 2 and 3 was observed in all farms where no digestive
216 disorders was reported. A cumulated percentage of 0% of scores 2 and 3 was categorized as mild. More
217 than 20% of scores 2 and 3 cumulated was observed in all farms where important digestive disorders were
218 reported. A cumulated percentage of 20% of scores 2 and 3 was categorized as severe. A cumulated
219 percentage of scores 2 and 3 higher than 0% but lower than 20% was categorized as moderate. As regards
220 technical performances, cut-off values were defined with reference values from the collected records
221 (average performances of a company). For antimicrobial use, no reference value was available for any
222 physiological stage: cut-off values were determined by the first quartile of the data distribution (presented
223 in appendix, Figure A1).

224 There was a room for improvement for:

- 225 • Clinical situation: when indicators (cough or sneeze counts, feces scores) were classified in
226 categories moderate or severe at visit 1.
- 227 • Technical performances: when indicators were lower (ADG, PWSY) or higher (FCR, mortality) than
228 reference values.
- 229 • Antimicrobial use: when farm DDDvet were higher than first quartiles of the data distribution for
230 a physiological stage

231 Criteria of evolutions for indicators are defined in Table 2.

- 232 • Clinical situation: improved or deteriorated at visit 3 if indicators were classified in a lower or a
233 higher category than at visit 1, respectively.
- 234 • Technical performances: improved or deteriorated at visit 3 if the value of their indicators at visit
235 1 increased or decreased (ADG, PWSY) and decreased or increased (FCR, mortality) by 2%,
236 respectively.
- 237 • Antimicrobial use: improved or deteriorated at visit 3 if the DDDvet value at visit 1 decreased or
238 increased by 2%, respectively.

239 For all types of indicators, a *statu quo* was defined when there was neither an improvement nor a
240 deterioration.

241 **Veterinarian's opinion on the evolution of health disorders**

242 Veterinarians' opinions on the evolution of health disorders were recorded after the visit 3,
243 independently of the visit. They were orally asked by phone or face-to-face. Veterinarians were asked if
244 there was a health disorder improvement, *statu quo* or deterioration according to their routine health
245 monitoring of the farm through the period since visit 1. All their opinions were recorded in writing. Our

246 results of the assessment of compliance and indicators were not shared with veterinarians at this time of
247 the study.

248

249 **Assessment of effectiveness of Tailor-Made Health Plans**

250 In the absence of a reference method to assess the effectiveness of a TMHP, we proposed to use
251 seven methods to identify their advantages and limitations. Figure 2 provides a description of the seven
252 methods used. In this study, effectiveness is the observation of the expected effects of a TMHP that were:
253 i) the improvement of a targeted health disorder and its consequences after compliance with
254 recommendations (for a TMHP_{disorder}) or ii) the implementation of measures to prevent pathogen
255 introduction or circulation (for a TMHP_{prev}).

256 On the one hand, the assessment of effectiveness for a TMHP_{disorder} was based on six methods:

- 257 A) Veterinarians' opinion
- 258 B) A combination of the compliance assessment and the evolutions of clinical observations (thereafter
259 named clinical observation method)
- 260 C) A combination of the compliance assessment and the evolutions of technical performances
261 (thereafter named technical performance method)
- 262 D) A combination of the compliance assessment and the evolutions of antimicrobial use (thereafter
263 named antimicrobial use method)
- 264 E) A combination of the compliance assessment and the evolutions of all selected indicators (clinical
265 observations, technical performances and antimicrobial use; thereafter named the all-indicator
266 method)
- 267 F) A combination of the compliance assessment and the evolutions of available indicators (allowing
268 assessment despite missing data; thereafter named the available-indicator method)

269 To be used, a method had to be feasible (available data) and biologically relevant for the given
270 TMHP. An indicator could be unavailable in a farm (*i.e.* no monitoring of technical performances by a farmer,
271 no animals to observe for a physiological stage at the time of the visit) or it could not be improved since its
272 baseline value at the initial visit presented no room for improvement (as defined in Table 2). When one of
273 these two particular cases occurred for clinical observation or technical performance or antimicrobial use
274 method, no assessment was performed and consequently, no assessment was performed for the all-
275 indicator method since data were missing. On the contrary, the available-indicator method could still be
276 performed when at least one of the indicators was available. An indicator was considered biologically
277 relevant for a given TMHP, when it was possible to assume that its evolution was associated with the
278 evolution of the targeted health disorder. DDD_{vet} was considered relevant when antimicrobials were used

279 to cure the health disorder of interest before the intervention. Indicators used to assess effectiveness could
280 thus differ between TMHP_{disorder}.

281 On the other hand, the assessment of effectiveness for a TMHP_{prev} was only based on the
282 compliance assessment (method G). Indeed, according to the nature of recommendations (mainly targeting
283 external biosecurity, see below), no direct effect on the available indicators could be assumed in the time
284 frame of the study.

285 Whatever the method, three ranked levels of TMHP effectiveness were possible (*i.e.* i) effective, ii)
286 intermediate or *statu quo*, iii) ineffective) and were scored 2, 1 and 0 respectively:

- 287 • TMHP_{disorder} effectiveness based on veterinarians' opinions (method A):
 - 288 ○ Effective (score 2): improvement of the health disorder
 - 289 ○ *Statu quo* (score 1): no evolution of the health disorder
 - 290 ○ Ineffective (score 0): deterioration of the health disorder
- 291
- 292 • TMHP_{disorder} effectiveness based on a combination of compliance assessment and the evolution of
293 indicators, with each type of indicators considered separately (*i.e.* clinical observations or technical
294 performances or antimicrobial use for methods B, C, D, respectively):
 - 295 ○ Effective (score 2): at least one recommendation was implemented, and at least one
296 indicator improved and the other indicators did not deteriorate
 - 297 ○ Intermediate (score 1): at least one recommendation was implemented and indicators
298 neither improved nor deteriorated
 - 299 ○ Ineffective (score 0):
 - 300 ▪ no recommendation was implemented since we considered that
 - 301 recommendations "can only effectively improve health and welfare if they are
 - 302 actually implemented on-farm" (Tremetsberger and Winckler, 2015), or
 - 303 ▪ at least one recommendation was implemented but at least one indicator
 - 304 deteriorated (whatever the evolutions of other indicators)
- 305
- 306 • TMHP_{disorder} effectiveness based on a combination of compliance assessment and the evolution of
307 all selected or available indicators (methods E and F):
 - 308 ○ Method E: this method could be performed only if all selected indicators were available.
309 The method for assessing effectiveness was the same as for methods B, C, D but all types
310 of selected indicators were combined.
 - 311 ○ Method F: this method combined all available indicators in a given farm. Method F could
312 therefore be performed despite missing data among selected indicators. Moreover, this
313 method was less limitative to assess effectiveness:

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- Effective (score 2): at least one recommendation was implemented and at least one indicator improved, no matter the evolution of other available indicators
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- TMHP_{prev} effectiveness (method G):
 - Effective (score 2): half or more than half of the recommendations was implemented
 - Intermediate (score 1): at least one but less than half of the recommendations was implemented
 - Ineffective (score 0): no recommendation was implemented

331 Data analyses

332 Regarding the results of biosecurity audits, the percentage of implemented biosecurity measures
333 was calculated in each zone.

334 Results of the different methods to score effectiveness of the TMHP_{disorder} were compared by
335 inspection. The possible use of each method, the scores, and the concordance or discrepancies between
336 methods were displayed.

337

338 Results

339 Farm characteristics

340 Farm size ranged from 70 to 800 sows with an average number of 244 sows. Recruited farms were
341 part of 10 different producer companies. The batch management (i.e. the farrowing rhythm) ranged
342 between a 1-week system (a batch farrowing every week) and a 7-week system (7-week interval between
343 farrowing of two consecutive batches). All farms were included in the follow-up (visits 2 and 3). One farmer
344 in charge of the animals was replaced by another one during the study period.

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348 **Initial situation**

349 *Biosecurity*

350 At visit 1, percentages of implemented biosecurity measures according to the five farm zones were:
351 $44.5 \pm 12.2\%$ (public), $56.6 \pm 10.0\%$ (transition public-professional), $60.3 \pm 10.9\%$ (professional), $58.6 \pm$
352 14.9% (transition professional-herd), $72.4 \pm 10.2\%$ (herd) (Figure 3). On average, 34.9 ± 7.2 biosecurity
353 measures (*i.e.* $38.3 \pm 7.9\%$) were not implemented at visit 1 when all zones were considered.

354 *Recommendations*

355 The number of recommendations per farm ranged from 1 to 6 with a total of 69 recommendations.
356 On average, 3.5 ± 1.7 recommendations were formulated per farm. A total of 40 recommendations were
357 related to biosecurity and 29 recommendations were related to antimicrobial use, environmental
358 enrichment, feeding, housing facilities, laboratory analyses, management practices or vaccines. An
359 overview of these recommendations grouped by categories is provided in Table 3. The most frequent
360 biosecurity recommendations concerned the public-professional transition zone ($n=19$). These biosecurity
361 recommendations mainly targeted at implementing measures related to hygiene lock ($n=9$) and at fencing
362 professional zone ($n=9$). Recommendations not related to biosecurity mainly focused on implementing a
363 new vaccination scheme ($n=10$), or on prescribing laboratory analyses ($n=6$).

364 *Tailor-Made Health Plans*

365 The number of recommendations per type of type of tailor-made health plans (TMHP) ranged from
366 1 to 4 for TMHP_{disorder} (targeting a health disorder to improve) and from 1 to 5 for TMHP_{prev} (targeting
367 preventive measures to implement). Table 4 provides a description of the type of TMHP per farm and the
368 number of formulated and implemented recommendations. Fourteen TMHP_{disorder} and seventeen TMHP_{prev}
369 were formulated. One farm was concerned by two TMHP_{disorder} and ten farms were concerned by both types
370 of TMHP (one TMHP_{disorder} and one TMHP_{prev}). The mean number of recommendations was higher in farms
371 concerned by both TMHP_{prev} and TMHP_{disorder} (4.4 ± 0.9 recommendations) than for farms concerned by
372 only one TMHP_{prev} or one TMHP_{disorder} (respectively 2.7 ± 0.9 and 1.7 ± 0.9 recommendations).

373 **After intervention**

374 *Changes in biosecurity*

375 The evolutions of the percentage of implemented biosecurity measures are presented in Figure 3.
376 Major improvements in biosecurity observed at the visit 3 concerned the public-professional transition zone
377 (with on average 1.3 additional measures implemented after intervention). The most frequent

378 implemented biosecurity measures were the perimeter fences around the professional zone (4 farms) or
379 hygiene locks (4 farms).

380 All the implemented measures at the visit 1 were still implemented at the visit 3 in 16 out of the 20
381 farms. **Four farms were concerned by** a decrease in the number of implemented biosecurity measures at
382 visit 3: in three farms one or two measures were temporarily suspended and in one farm nine measures
383 were not implemented anymore. For this latter farm, the farmer at visit 3 was not the one in charge of the
384 animals at visit 1.

385 *Compliance*

386 The number of recommendations formulated, implemented or planned to be implemented in the
387 future at visit 2 is provided for each farm in Figure 4. The number of implemented recommendations at visit
388 2 ranged from 0 to 4 per farm. At least one recommendation was implemented in 18 farms out of 20. Six
389 farmers implemented one recommendation, whereas 12 farmers implemented two or more
390 recommendations. Overall, the total number of implemented recommendations per zone and per category
391 is described in Table 3.

392 Table 4 shows for each type of TMHP the numbers of implemented recommendations per farm
393 (mean \pm standard deviation) as well as the compliance percentage (percent of implemented
394 recommendations out of formulated recommendations). The compliance was higher in farms concerned by
395 **only TMHP_{disorder}** ($88.9 \pm 19.2\%$) than in farms concerned by i) both TMHP_{disorder} and TMHP_{prev} ($58.7 \pm 25.8\%$)
396 or ii) only TMHP_{prev} ($51.4 \pm 36.9\%$). There was no compliance with any recommendations for three
397 TMHP_{disorder}, a compliance with half or more than half of the recommendations (but not all) for five
398 TMHP_{disorder} and a compliance for all the recommendations for six TMHP_{disorder}.

399 For TMHP_{prev}, unwillingness and lack of time were the most frequent reasons to explain an
400 incomplete compliance (Table 5). For TMHP_{disorder}, feasibility and lack of time were the most frequent
401 reasons to explain an incomplete compliance. Some of the recommendations were planned to be
402 implemented in the future but were not implemented at visit 2 and 3. They were all preventive measures.
403 Despite farmers' willingness, lack of time (for 6 recommendations in 5 plans) or lack of money (for 2
404 recommendations in 2 plans) prevented them for implementing measures at visit 3.

405 **Evolutions of indicators between visits 1 and 3**

406 *Clinical observations considering health disorder to improve*

407 Five farms were concerned by respiratory disorders targeted to be improved. Among them, at least
408 one respiratory indicators (cough and sneeze counts) improved in four farms; both indicators neither
409 improved nor deteriorated (*i.e. statu quo*) in one farm.

410 Seven farms were concerned by digestive disorders targeted to be improved. Digestive indicators
411 (feces scores) improved in two farms and deteriorated in one farm. Feces score presented no room for
412 improvement at visit 1 in three farms (but the health plan formulated by the veterinarians targeted a
413 digestive disorder). Feces score could not be assessed in one farm since piglets were not yet born at the
414 time of the visit.

415 Two farms were concerned by health disorders that could not be assessed with the clinical
416 observations selected when the protocol was designed. One farm was concerned by tail-biting in fattening
417 units and one farm was concerned by neurological and locomotion disorders related to *Streptococcus suis*.

418 *Technical performances in farms where the plan targeted a health disorder to improve*

419 ADG improved in two farms and deteriorated in three farms. FCR improved in two farms, did
420 neither improve nor deteriorate in one farm and deteriorated in two farms. Evolutions of ADG and FCR
421 would have been relevant in five out of the 13 farms concerned by a TMHP_{disorder} but could not be assessed
422 since they were not monitored by farmers. Indicators of technical performances at farm scale are presented
423 in appendix (Table A1).

424 *Antimicrobial use in farms where the plan targeted a health disorder to improve*

425 Antimicrobial use targeting a health disorder of interest decreased in one farm, neither decreased
426 nor increased in one farm and increased in three farms according to DDDvet. DDDvet presented no room
427 for improvement in one farm concerned by a health disorder. Evolutions of DDDvet would have been
428 relevant in four other farms but could not be assessed since they were not provided by veterinarians.

429 **Effectiveness of Tailor-Made Health Plans**

430 Table 6 displays the assessment of the effectiveness of the 14 TMHP_{disorder} according to the six
431 methods A, B, C, D, E and F. It describes the compliance with recommendations, the evolution of indicators
432 between visits 1 and 3 and the scores of effectiveness. Table A2 (appendix) describes the type of health
433 disorders to improve per TMHP_{disorder} and the values of indicators allowing to define the evolutions of
434 indicators (*i.e.* improvement, *statu quo*, deterioration).

- 435 • Method A – Veterinarians’ opinion: eight TMHP_{disorder} were effective, one presented a *statu quo* of
436 the health disorder evolution and five were ineffective.
- 437 • Method B - Clinical observation method: four TMHP_{disorder} were effective, one had an intermediate
438 effectiveness and four were ineffective. Effectiveness could not be assessed for five TMHP_{disorder}
439 with method B for different reasons: no clinical indicator initially selected was relevant to show an
440 improvement in the targeted health disorder in one farm; clinical indicators presented no room for
441 improvement at visit 1 in three farms; clinical indicator could not be monitored in one farm (no
442 animals were present at the targeted physiological stage).

- 443 • Method C - Technical performance method: one TMHP_{disorder} was effective and five were
444 ineffective. Effectiveness could not be assessed for four TMHP_{disorder} with method C since technical
445 performances could not be provided by farmers. Technical performance indicators were not
446 relevant for four farms where the health disorder concerned a physiological stage not monitored.
- 447 • Method D - Antimicrobial use method: one TMHP_{disorder} was effective, one had an intermediate
448 effectiveness and four were ineffective. Effectiveness could not be assessed for five TMHP_{disorder} for
449 different reasons: there was no room for improvement in one farm; antimicrobial use could not be
450 provided by veterinarians in four farms. In three farms, no antimicrobials were given to cure the
451 identified health disorder before the intervention.
- 452 • Method E – All-indicator method (clinical observations, technical performances and antimicrobial
453 use): four TMHP_{disorder} were ineffective. Effectiveness could not be assessed for ten TMHP_{disorder}
454 since at least one indicator of the methods B, C and D was not assessed (for the reasons given
455 above).
- 456 • Method F – Available-indicator method: seven TMHP_{disorder} were effective and five were ineffective.
457 Effectiveness could not be assessed for two TMHP_{disorder} for different reasons: i) clinical indicator
458 presented no room for improvement at visit 1, and neither technical performance data nor
459 antimicrobial use data were provided; ii) clinical indicator could not be assessed (no animals were
460 present at the targeted physiological stage), technical performances were not relevant (since target
461 animals were suckling piglets whereas indicators concerned pigs from wean-to-finish) and
462 antimicrobial use data were not provided.

463 The number of times a method could be used differed widely between methods A, B, C, D, E and F:

- 464 • The most used methods were the veterinarians' opinion (A), the available-indicator method (F) and
465 the clinical observation method (B) (14, 12 and 9 times out of 14, respectively).
- 466 • The least used method were the all-indicator (E), technical performance (C) and antimicrobial use
467 (D) methods (4, 6 and 6 times out of 14, respectively).
- 468 • From 1 to 6 methods could be used to assess the effectiveness of a TMHP_{disorder}.
- 469 • All the relevant methods could be used for four TMHP_{disorder} .

470 The scores of effectiveness differed widely between methods A, B, C, D, E and F:

- 471 • The highest proportions of scores 2 were obtained for the veterinarians' opinion (A), the available-
472 indicator method (F) and the clinical observation method (B) (8/14, 7/12 and 4/9, respectively).
- 473 • The lowest proportions of scores 2 were obtained for the all-indicator (E), the technical
474 performance (C) and antimicrobial use (D) methods (0/4, 1/6, and 1/6, respectively).

475 The level of inter-method agreement differed:

- 476 • The results of the clinical observation (B) and the available-indicator (F) methods matched the most
477 frequently with those of the veterinarians' opinion (A) (7 times out of 9, 8 times out of 12,
478 respectively). When discrepant, scores obtained with veterinarians' opinions (A) were either higher
479 (once with method B, twice with method F) or lower (once with method B, twice with method F).
- 480 • Clinical observation method (B) and the method combining all available indicators (F) matched
481 seven times out of nine. When discrepant, scores obtained with the clinical observation method
482 (B) were lower than with the available-indicator method (F).
- 483 • Technical performance (C) and antimicrobial use (D) methods were the two methods whose results
484 were least consistent with those of the veterinarians' opinion (A) (2 times out of 6, 3 times out of
485 6, respectively). When discrepant, scores obtained with veterinarians' opinions (A) were higher.

486 Figure 5 describes the results of the effectiveness assessment based on compliance for TMHP_{prev} (G). Out
487 of the 17 TMHP_{prev}, 11 were effective, three had an intermediate effectiveness and three were ineffective.

488

489

Discussion

490 In this study, we aimed at assessing the effectiveness of tailor-made health plans designed in a
491 variety of situations following a systematic audit on biosecurity and herd health. Resource-based indicator
492 (compliance) and outcome-based indicators (clinical observations, technical performances, and
493 antimicrobial use) were used in this purpose. Seven methods were used and compared to identify key
494 points for the development of future assessments of the effectiveness of health plans in farms. The
495 observations performed at visit 1 were considered to be the control of the monitored farms. It was not
496 feasible to have a control group with on-farm conditions where farmers do not implement any new
497 practices. Furthermore, developing a tailor-made approach, we considered that the situation of each farm
498 is unique and can only be compared to itself.

499 The compliance with plans was good: almost all of the farmers in this study implemented at least
500 one recommendation (only two out of 20 did not), and on average more than 50% of the recommendations
501 were implemented in each plan. Compliance was systematically considered as a criterion to evaluate the
502 effectiveness of two types of plans. It was the only indicator for prevention plans not targeting any specific
503 health disorder, and the first indicator for plans targeting a health disorder, before assessing outcome-
504 based indicators. For prevention plans, outcome-based indicators could not progress due to the
505 implementation of measures. Indeed, the recommended preventive measures mainly concerned the
506 prevention of the introduction of pathogens into the farm (perimeter fence, hygiene lock). To evidence the
507 effectiveness of external biosecurity, farms must be exposed to the risk of pathogen introduction. However,
508 these risks were low in our cohort (closed housing facilities, absence of epizootics during the study, advisors
509 and farmers trained in biosecurity). That is why compliance was the only indicator we used to assess the

510 effectiveness of prevention plans. Based on compliance, the majority of prevention plans not targeting any
511 specific health disorder were considered effective. The implementation of preventive measures could be
512 motivated by farmers' risk aversion (Renault et al., 2021), farmers' confidence in their ability to implement
513 new management practices in their daily work (Jones et al., 2016), or the need to comply with French
514 legislation which has been strengthened since the spread of African Swine Fever in Europe (République
515 Française, 2018). Using compliance as a “marker of success” was suggested by Tremetsberger and Winckler
516 (2015) and used in other studies on tailor-made health plans in pig (Collineau et al., 2017) or dairy farms
517 (Duval et al., 2018; Green et al., 2007; Sjöström et al., 2019). Here, we proposed to use compliance as the
518 first indicator of the effectiveness of health plans, then to add outcome-based indicators to the assessment
519 when it assumed to be relevant. In our cohort, we used this method for plans targeting a specific health
520 disorder present in farms. In that case, we assumed that evidencing a change in indicator can be a useful
521 step to assess effectiveness (even if causation and association cannot be proven in such a study design). On
522 the contrary, in case of the improvement of an outcome-based indicator without implementation of any
523 measures, the observed improvement cannot be attributed to the effectiveness of the health plan. This
524 situation was observed in two farms where outcome-based indicators improved in absence of the
525 implementation of recommended measures. This would have led to erroneous conclusions, if compliance
526 had not been the first criterion considered to assess effectiveness.

527 Both types of plans included a low number of prioritized recommendations, which was much lower
528 than the number of biosecurity measures not implemented according to the audit. We assume that
529 selecting and prioritizing recommendations could have enhanced compliance. This could have allowed
530 farmers to more easily focus on a specific target to improve. If a larger number of recommendations had
531 been formulated, farmers may have neglected some of **them**. In a context where economic and time
532 budgets are limited for farmers, some recommendations could have been not implemented due to a lack
533 of money or **of** time (Alarcon et al., 2014). Nonetheless, tailor-made health plans formulated in dairy farms
534 in Germany and Sweden included a median number of recommendations higher than in our study (*i.e.*, 7 in
535 Germany; 15 in Sweden), but their median compliance rate of 67% was similar (Sjöström et al., 2019). To
536 explain the high compliance rates despite the high number of recommendations, Sjöström et al. (2019)
537 argued that herd health planning was probably regularly included in a monitoring system for Swedish dairy
538 farmers. Thus, a large number of recommendations is not necessarily a barrier to compliance but requires
539 that the veterinarian knows well the farmers with whom he works and their motivation, to adapt their
540 advices and taking into account the likelihood of implementing the recommendations.

541 Compliance with plans targeting a health disorder was better than with prevention plans not
542 targeting a specific health disorder. Other reasons than prioritizing recommendations could explain this
543 difference. Farmers most often cited a lack of willingness as a reason for not implementing all the
544 recommended measures of a prevention plan. This reason was more frequently cited than the economic

545 cost of recommendations, which is known to be a barrier to compliance (Alarcon et al., 2014; Garforth et
546 al., 2013). We assume that farmers perceived less potential benefit to preventive measures in the absence
547 of a health disorder. For example, two pig farmers in this study who reared their pigs in closed housing
548 facilities did not implement a perimeter fence due to a lack of willingness, despite the recommendations of
549 the prevention plans. It is likely that these farmers did not perceive any benefits due to the low risk of
550 disease introduction by wild boars (closed housing facilities) and the high cost of perimeter fences. It is
551 known that the perception of benefits can enhance compliance in the context of a disease risk management
552 (Delpont et al., 2021; Garforth et al., 2013; Moya et al., 2020; Ritter et al., 2017; Svensson et al., 2019). One
553 way to improve the perception of benefits is to communicate with farmers about evidence-based benefits
554 (Renault et al., 2021; Valeeva et al., 2011). Monitoring outcome-based indicators to assess the effectiveness
555 of plans can contribute to substantiate evidence-based benefits.

556 In this study, we aimed to describe the evolution of health disorder with several outcome-based
557 indicators related to the targeted disorder. Clinical observations are specific indicators of a health disorder.
558 In our cohort, two-thirds of the plans could be assessed with these indicators. When plans could be
559 assessed, clinical indicators improved about half of times. Three reasons explained why one-third of the
560 plans could not be assessed with clinical observations. First, clinical observations could not always be
561 performed at the time of the visit. The protocol dictated the timing of the visits, so that not all physiological
562 stages could be observed, due for example to later farrowing than expected. Secondly, clinical observations
563 could not be relevant to the targeted health disorder. Outcome-based indicators were selected *a priori*
564 based on i) their ability to assess a change in health disorder with the implementation of a health plan and
565 ii) their specific association with the main infectious diseases likely to be present in the pig farms of the
566 study area. In particular, respiratory and digestive disorders were the most common disorders in the study
567 area. Therefore, the outcome-based indicators selected *a priori* did not allow to monitor other health
568 disorders. For example, a nervous disorder was observed in one farm of the cohort and could thus not be
569 monitored with the clinical indicators selected *a priori*. Thirdly, clinical indicators could present no room for
570 improvement at the first visit. The severity of clinical observations can evolve over time. This is why we
571 observed an absence of a room for improvement of some clinical indicators, even though veterinarians had
572 previously observed the health disorder. For all these reasons, we recommend that the type of clinical
573 indicators and their monitoring modalities (duration, frequency of observations) are selected after the first
574 farm visit, depending on the health disorder targeted by the plan.

575 Technical performances and antimicrobial use can provide additional evidence-based benefits of a
576 plan. However, these indicators are non-specific as other factors besides the targeted disorder can induce
577 their variations. In our cohort, these indicators could not be assessed for more than half of the plans
578 because they were not available. When available, these indicators improved for less than a quarter of times.
579 The two main difficulties in using these indicators were data availability and the choice of the period to

580 monitor them. Technical performances were not systematically monitored by all farmers, and the purchase
581 records of antimicrobial were not always provided by veterinarians. The difficulty of accessing antimicrobial
582 use data in pig farms had already been described in another intervention study in Belgium, where tailor-
583 made health plans were also formulated (Postma et al., 2017). The usual follow-up period indicated in the
584 technical documents and antimicrobial purchase records in our cohort was one year. This time window may
585 not be suitable for all indicators and all health disorders. For example, it was probably too long to observe
586 a decrease in antimicrobial use attributable to plan effectiveness in our cohort. To overcome this limitation,
587 we recommend to adapt the studied time window of each monitored indicator to the targeted health
588 disorder.

589 **The opinions of veterinarians** on the effectiveness of health plans targeting a specific health
590 disorder were recorded for each plan, regardless of the assessed indicators. We aimed to compare the
591 opinions of veterinarians with five methods assessing effectiveness to discuss potential reasons for
592 discrepancies. The majority of veterinarians involved in this study had been collaborating with the recruited
593 farmers for several years. They were familiar with these farmers and the health context of the farm
594 beforehand. It is assumed that the length of the relationships and the knowledge of the farms allowed the
595 veterinarians to access different types of information to conclude on the effectiveness of their health plans.
596 Indeed, Bard et al. (2019) observed through qualitative interviews with pig farmers and veterinarians, that
597 advisors could access certain information or not depending on the quality of their relationship with the
598 farmer. Furthermore, the clinical reasoning of veterinarians was based on holistic information gathering
599 (May, 2013; Vinten et al., 2016). It is assumed that some outcome-based indicators are included among all
600 the collected information.

601 The effectiveness of a plan targeting a health disorder could differ according to the method used.
602 Therefore, the outcome-based indicators captured *a priori* complementary information. Discrepancies in
603 effectiveness could be explained by differences between indicators in specificity or in studied time window.
604 Veterinarians' opinions mostly matched with clinical observations. The few discrepancies between these
605 two methods suggest that the information captured by clinical observations could have sometimes a limited
606 temporal validity or be incomplete. The temporal validity of observed clinical information is limited since
607 clinical severity could differ depending on the observation time. Incomplete information may be due to the
608 fact that a single outcome-based indicator does not provide enough information to precisely describe a
609 health disorder in farm (Zimmerman et al., 2019). Combinations of indicators were thus used to have a
610 more holistic health description. The combinations were complex to use. One method required the
611 combination of all outcome-based indicators and concluded to an effective plan, only if an improvement in
612 at least one indicator was observed without any deterioration elsewhere. The individual limits of each
613 indicator (missing data, low specificity, inadequate studied time window) explain why this method was
614 rarely applicable and systematically resulted in ineffective plans. Another method, which only combined
615 the available indicators, could be used (by construction) more frequently than all other methods, except

616 for the method based on the veterinarians' opinion. Some discrepancies in results compared to
617 veterinarians' opinion could be explained by the lack of specificity or limited temporal validity of the
618 available indicators. Our results suggest that the relevance of combining indicators to assess the evolution
619 of a health disorder depends i) on the availability of data in farm, ii) on the specificity of the indicators, and
620 iii) on the relevance of the targeted time window to monitor indicators. The absence of data for clinical
621 indicators, technical performances, and antimicrobial use could have been avoided by selecting indicators
622 adapted to each farm in collaboration with farmers and veterinarians (Duval et al., 2016; Tremetsberger et
623 al., 2015; Vaarst, 2011). This approach allows to assess the evolution of a health disorder within a farm but
624 not to compare or to synthesize results in several farms, since the indicators used would *a priori* differ across
625 farms.

626 Careful consideration is required to identify how to choose indicators and how to combine them
627 according to specific health disorders. Missing data and inadequate studied time window observed in this
628 study, suggest that indicators and their monitoring modalities (length, frequency) should be selected after
629 an initial visit of the farm, in collaboration with farmers and veterinarians (Duval et al., 2016; Tremetsberger
630 and Winckler, 2015; Vaarst, 2011). This will allow a more precise adaptation of health monitoring in each
631 farm and a more accurate description of the evolution of health disorders. Moreover, other types of
632 outcome-based indicators, in addition to those used in this study, could be considered to provide a more
633 comprehensive description of health. For instance, observations in slaughterhouses could be performed
634 since they are useful for some health disorders (Scollo et al., 2022). A multi-criteria method based on, as
635 already used by (Martín et al., 2017) to assess the welfare of finishing pigs, would be of interest to
636 holistically assess the evolution of a health disorder.

637

638

Conclusion

639 Tailor-made health plans were designed in a variety of situations following a systematic audit on
640 biosecurity and herd health. Two types of tailor-made health plans could be formulated to each farm : a
641 plan to improve prevention not targeting a specific health disorder, and a plan to improve one targeted
642 specific health disorder. To assess the effectiveness of prevention plans, only the compliance of
643 recommended measures was assumed to be relevant. Most of prevention plans were effective since
644 recommended measures were implemented. To assess the effectiveness of plans targeting a health
645 disorder to improve, outcome-based indicators were used in addition to compliance. The effectiveness
646 assessment with a combination of indicators was complex. Three key points were identified from these
647 results for future assessments of the effectiveness of tailor-made health plans. Firstly, compliance should
648 be the first indicator of assessment. Secondly, outcome-based indicators and their monitoring modalities
649 (length, frequency) should be adapted to each farm and to the targeted health disorder. Thirdly, indicators
650 should be combined to have a holistic and precise description of a health disorder. Further research is

651 needed to identify how to select indicators to combine and how to combine them, according to health
652 disorders.

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658 **Data availability**

659 Data are available online: 105281/zenodo.7788872 of the webpage hosting the data
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661 **Conflict of interest disclosure**

662 The authors declare that they comply with the PCI rule of having no financial conflicts of interest in
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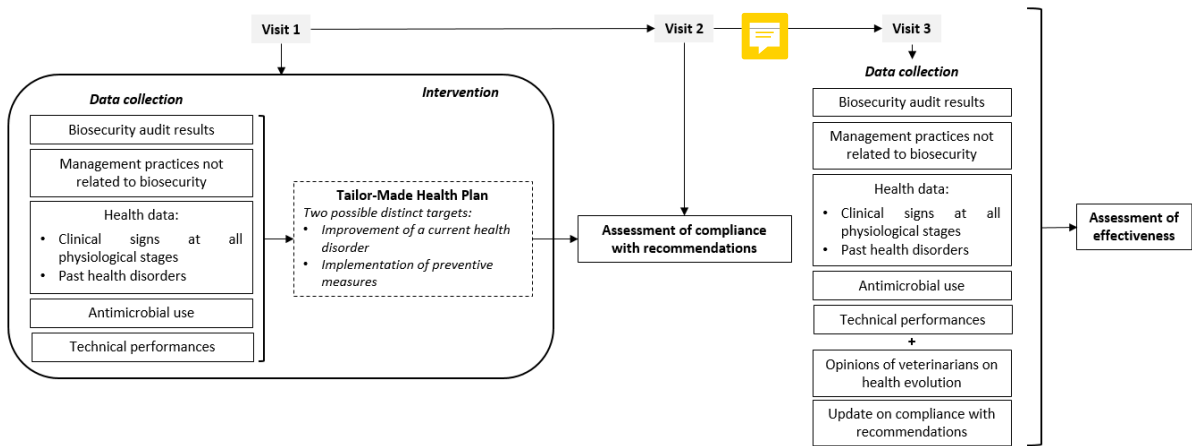
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FIGURES AND TABLES

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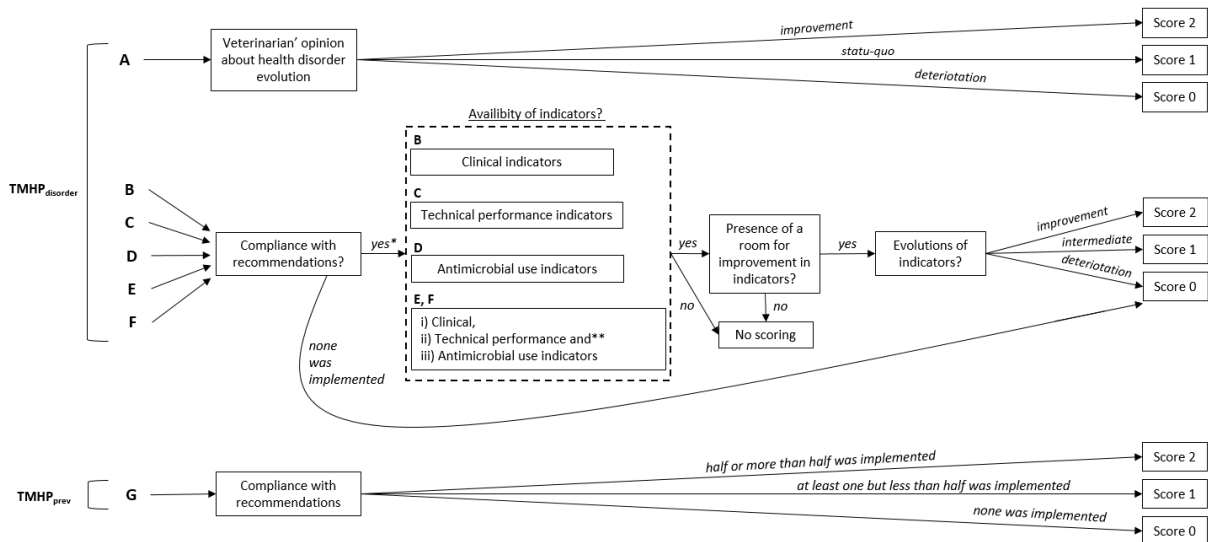
Figure 1: Design of the intervention study to assess the effectiveness of tailor-made health plans in pig farms

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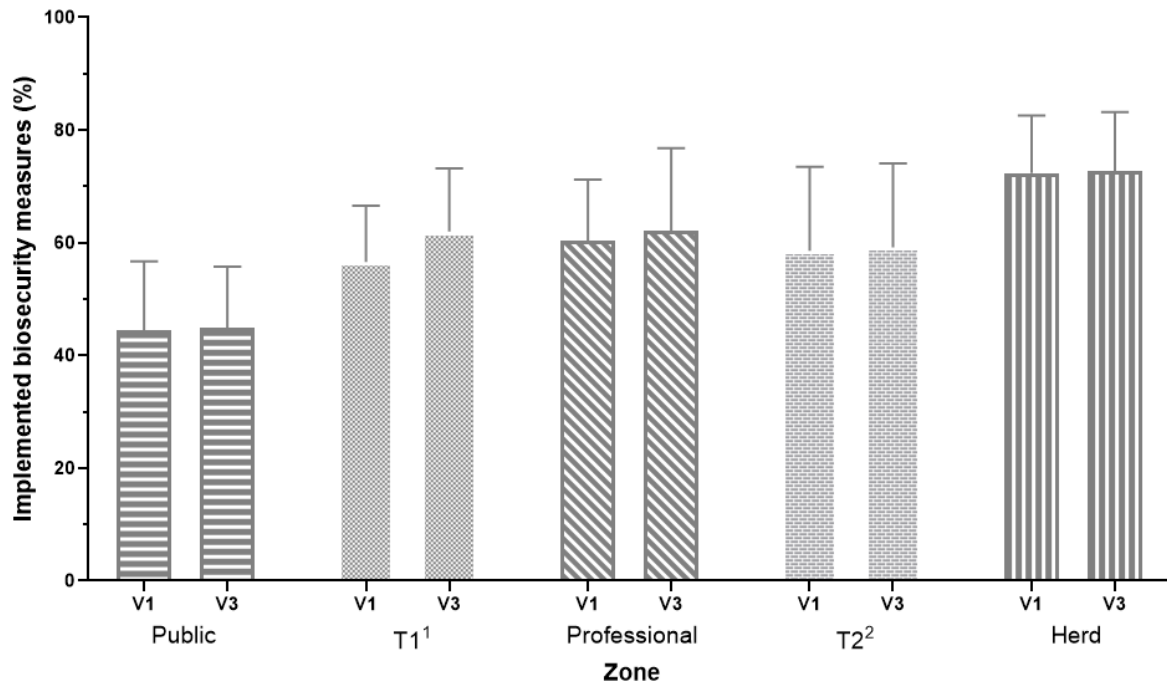


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835 **Figure 2:** Description of the methods to assess the effectiveness of tailor-made health plans (score 2: effective; score 1:
 836 intermediate effectiveness; score 0: ineffective) considering seven methods, six for TMHP_{disorder} (A: veterinarians' opinion; B:
 837 compliance with recommendation and evolution of clinical indicators; C: compliance with recommendation and evolution of
 838 technical performance indicators, D: compliance with recommendation and evolution of antimicrobial use indicator, E:
 839 compliance with recommendations and evolutions of all selected indicators indicators, F: compliance with recommendations
 840 and evolutions of available indicators) and one method G for TMHP_{prev} based on compliance assessment (*: at least one
 841 recommendation was implemented; **: difference between methods E and F as defined above)

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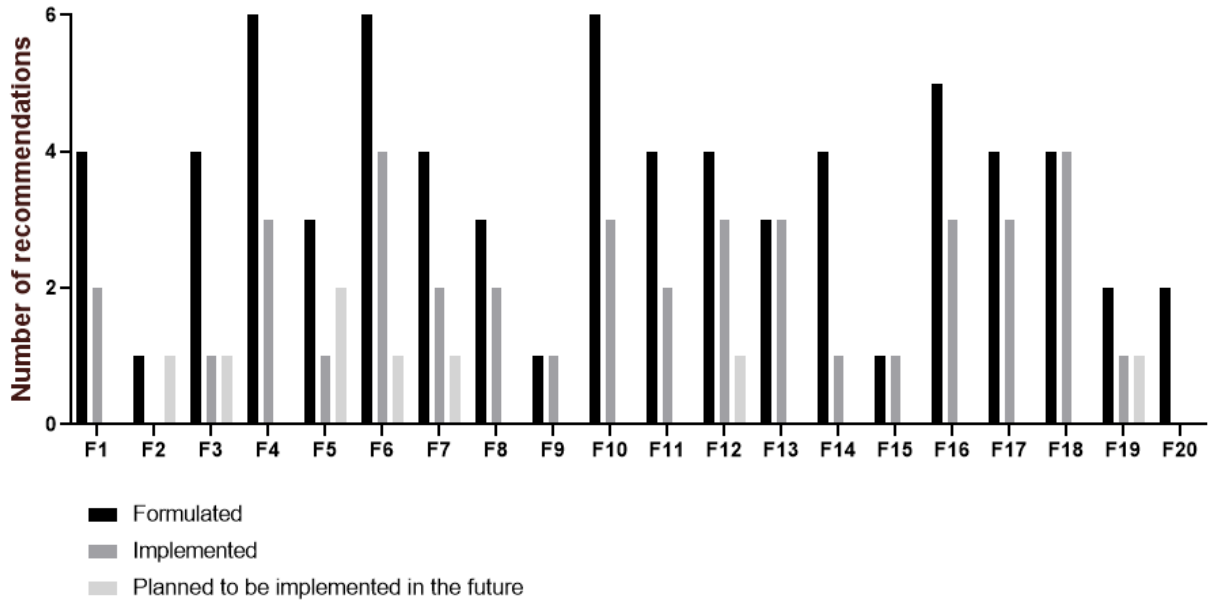
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845 **Figure 3:** Percentage of biosecurity measures implemented at visits 1 and 3 (before and after the formulation of tailor-made
846 health plans) in 20 farrow-to-finish pig farms according the five farm zones (1: first transition zone between public and
847 professional zones; 2: second transition zone between professional and herd zones)

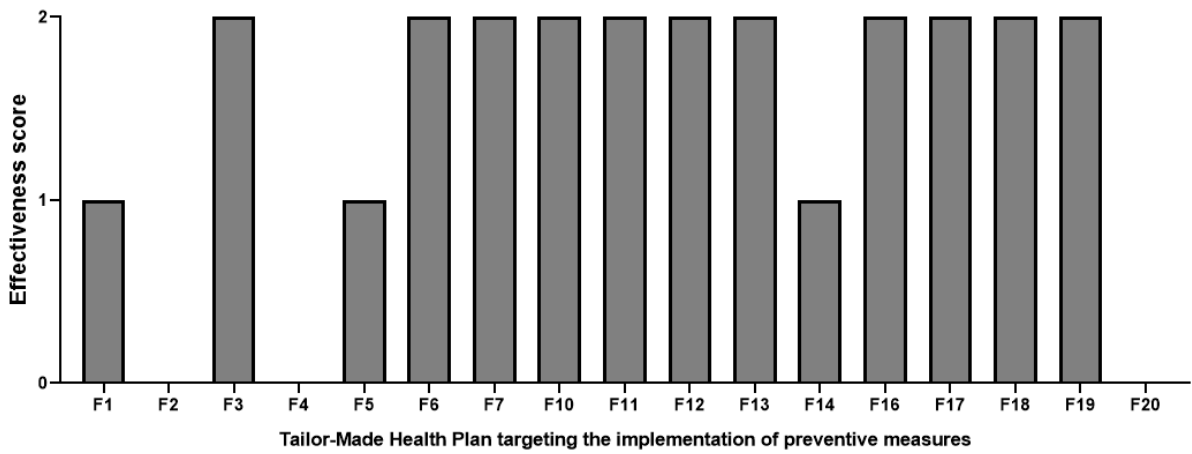
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851 **Figure 4:** Number of recommendations formulated in tailor-made health plans, implemented and planned to be implemented
852 after visit 2 in 20 farrow-to-finish pig farms

853



854

855 **Figure 5:** Assessment of tailor-made health plans with method G based on compliance assessment (Score 2= effective; 1=
 856 intermediate; 0= ineffective) for 17 Tailor-Made Health Plans targeting the implementation of preventive measures)

857

858 **Table 1:** Description of indicators used to monitor evolution of health, performances and antimicrobial use after the formulation of tailor-made health plans, based on a systematic audit of
 859 biosecurity and herd health in 20 farrow-to-finish pig farms

Type of indicator	Indicator	Unit	Method description	Categories of severity		
				1: mild	2: moderate	3: severe
Clinical observations	Cough count <i>or</i> Sneeze count	Number / 2 minutes / 100 animals	Counting three times for two minutes for each physiological stage. Cough (or sneeze) counts = $\sum \text{coughs (or sneezes) counted} * \frac{100}{\text{Number of observed animals}} * \frac{1}{3}$	<1 count / 2 minutes / 100 animals	[1 ; 5[counts / 2 minutes / 100 animals	≥ 5 counts / 2 minutes / 100 animals
	Feces score	-	Attribution of a feces score at a pen scale from 1 to 4: <ul style="list-style-type: none"> ▪ Score 0: absence of diarrhoea (firm feces) ▪ Score 1: absence of diarrhoea but presence of some water (soft feces) ▪ Score 2: presence of diarrhoea (very soft feces) ▪ Score 3: important diarrhoea (liquid feces). Percentage of occurrence of each feces score (Score %) was calculated at each visit: $\text{Score \%} = \frac{\text{Number of a given feces score}}{\text{Total number of feces score}} * 100$	0% of scores 2 and 3 accumulated]0; 20[% of scores 2 and 3 accumulated	≥ 20% of scores 2 and 3 accumulated
Technical performances	ADG ¹	g/day	Collected from technical documents (wean-to-finish period)	Categories of severity only concerned clinical observations		
	FCR ²	kg/kg	Collected from technical documents (post-weaning and fattening periods)			
	Mortality PWSY ³	% Number of piglets weaned/sow/year	Collected from technical documents			
Antimicrobial use	DDDvet ⁴	mg/day/kg	Defined Daily Dose for animals (DDDvet; European Medicines Agency, 2015) = $\sum_{\text{all antimicrobials used}} \frac{\text{active substance weight}}{\text{dose} * \text{animal weight of a category}}$	Categories of severity only concerned clinical observations		

860 1: ADG = Average Daily Gain

861 2: FCR = Feed Conversion Ratio

862 3: PWSY = Piglets Weaned per Sow per Year

863 4: DDDvet = Defined Daily Dose for animals

864 **Table 2:** Indicators and criteria used to define a room for improvement at visit 1 and to characterize evolutions between visits 1 and 3 (i.e. improvement of deterioration; see Table 1 for the
 865 definitions of categories) in 20 farrow-to-finish pig farms

Type of indicator	Indicator (unit)	Baseline	Presence of room for improvement at the initial situation	Improvement criteria	Deterioration criteria
Clinical observations	Cough count (count/2minutes/100animals)	Visit 1	Indicator classified in categories 2 or 3 at visit 1	<i>Indicator classified in a lower category at visit 3 than at visit 1</i>	<i>Indicator classified in a higher category at visit 3 than at visit 1</i>
	Sneeze count (count/2minutes/100animals)	Visit 1			
	Feces score (%)	Visit 1			
Technical performances	ADG ¹ (g/day)	Year before intervention	Wean-to-finish: <742	Relative increase by 2%	Relative decrease by 2%
	FCR ² (kg/kg)		Wean-to-finish: >2.35	Relative decrease by 2%	Relative increase by 2%
	Mortality (%)		Post-weaning: >2.9 Fattening : >3.4	Decrease by 2%	Increase by 2%
	PWSY ³ (piglets weaned /sow/year)		<30.7	Relative increase by 2%	Relative decrease by 2%
Antimicrobial use	DDDvet ⁴ sows (mg/day/kg/1000 animals)		>0.1	Relative decrease by 10%	Relative increase by 10%
	DDDvet piglets		>1,4		
	DDDvet weaners		>0,7		
	DDDvet fatteners		>0.1		

866 1: ADG = Average Daily Gain

867 2: FCR = Feed Conversion Ratio

868 3: PWSY = Piglets Weaned per Sow per Year

869 4: DDDvet = Defined Daily Dose for animals

870

871 **Table 3:** Distribution of the recommendations formulated in tailor-made health plans based on a systematic audit of biosecurity and herd health, and implemented in 20 farrow-to-finish pig
872 farms.

Categories of recommendations in the tailor-made health plan	Number of formulated recommendations	Number of implemented recommendations
Biosecurity	40	22
Public zone	1	1
Maintaining in the public zone persons and vehicles with unnecessary access to the professional zone	1	1
Transition public-professional zone	19	9
Prevention of the contamination of the professional zone due to unnecessary access	1	1
Prevention of the contamination of the professional zone by farmers or visitors	9	4
Prevention of the contamination of the professional zone by wild animals	9	4
Professional zone	3	2
Prevention of the contamination associated to the elimination of dead animals	1	0
Prevention of the persistency of pathogens in the professional zone	2	2
Transition professional-herd zone	6	5
Prevention of the introduction of pathogens by purchased animals	2	2
Prevention of the introduction of pathogens by farmers	4	3
Herd zone	11	5
Prevention of the transmission of pathogens by farmers or visitors	2	0
Prevention of the transmission of pathogen between animals of different ages	1	0
Prevention of transmission of pathogens due to infected building	3	3
Reduction of situations at risk due to heterogeneous herd immunity	4	2
Reduction of situations at risk due to high loads of pathogens	1	0
Other recommendations	29	20
Antimicrobial use: individual treatment	1	1
Environmental enrichment	5	1
Feeding	2	2
Housing facilities : temperature or ventilation parameters	2	1
Laboratory analyses	6	6
Management practices	3	0
Vaccines : implementation of a new vaccination scheme	10	9

873

874

875 **Table 4:** Number of formulated and implemented recommendations per farms per tailor-made health plans targeting a health disorder to improve (TMHP_{disorder}) or preventive measures to
 876 implement (TMHP_{prev})

	Number of farms	Number of recommendations per farm (Mean ± standard-deviation)		Compliance (%) (Mean ± standard-deviation)
		Formulated	Implemented	
TMHP _{disorder} ¹	3	1.7 ± 0.9	1.3 ± 0.6	88.9 ± 19.2
TMHP _{prev} ²	7	2.7 ± 0.9	1.4 ± 1.3	51.4 ± 36.9
Both ³	10	4.4 ± 0.9	2.7 ± 1.2	58.7 ± 25.8
<i>TMHP_{disorder}</i>		1.8 ± 0.8	1.2 ± 0.9	64.2 ± 39.3
<i>TMHP_{prev}</i>		2.6 ± 0.8	1.5 ± 1.1	52.7 ± 34.7

877 1: TMHP_{disorder} = Tailor-made health plan to improve a health disorder

878 2: TMHP_{prev} = Tailor-made health plan to improve farm prevention

879 3: Farmer concerned by a tailor-made health plan to improve a health disorder and a tailor-made health plan to improve prevention. One of these 10 farms was concerned by two TMHP_{disorder}
 880 and one TMHP_{prev}.

881

882 **Table 5:** Description of the reasons of an incomplete compliance to recommendations in farms

	TMHP _{disorder} ¹	TMHP _{prev} ²
Number of plan with an incomplete compliance	8	14
Total number of plans	14	17
Reasons of non-full compliance		
Feasibility	3	1
Lack of money	1	3
Lack of time	3	5
Unwillingness	1	5

883 1: TMHP_{disorder} = Tailor-made health plan to improve a health disorder

884 2: TMHP_{prev} = Tailor-made health plan to improve farm prevention

885

886 **Table 6:** Assessment of the effectiveness of 14 tailor-made health plans targeting a health disorder to improve (*TMHP_{disorder}*) according to six methods (A: *veterinarians' opinion*; B: *compliance*
887 *with recommendation and evolution of clinical indicators*; C: *compliance with recommendation and evolution of technical performance indicators*, D: *compliance with recommendation and*
888 *evolution of antimicrobial use indicator*, E: *compliance with recommendations and evolutions of all selected indicators*; F: *compliance with recommendations and evolutions of available*
889 *indicators*). Result for each method: 2: *effective*, 1: *intermediate effectiveness*; 0: *ineffective* (for definitions, see text)

Farm and TMHP _{disorder}	Indicators to assess effectiveness							Results of the methods to assess effectiveness					
	Compliance proportion	Cough count	Sneeze count	Feces score	ADG ¹	FCR ²	DDDvet ³	A	B	C	D	E	F
F1	1/1	Improved ⁴	Improved	- ⁵	NA ⁶	NA	-	2	2	NS ⁷	-	NS	2
F3	0/1	Improved	Improved	-	Deteriorated	Deteriorated	-	0	0	0	-	0	0
F4	3/4	-	-	No room for improvement	NA	NA	Deteriorated	2	NS	NS	0	NS	0
F6	1/1	-	-	Improved	-	-	Deteriorated	0	2	-	0	0	2
F8	2/3	-	-	No room for improvement	-	-	Deteriorated	2	NS	-	0	NS	0
F9	1/1	-	-	-	Deteriorated	Improved	Improved	2	NS	0	2	NS	2
F10a	2/3	Improved	<i>Statu quo</i>	-	NA	NA	-	2	2	NS	-	NS	2
F10b	0/1	-	-	Improved	-	-	No room for improvement	0	0	-	NS	NS	0
F11	2/2	-	-	No room for improvement	NA	NA	NA	1	NS	NS	NS	NS	NS
F14	0/1	-	-	-	NA	NA	-	0	0	0	0	0	0
F15	1/1	Improved	<i>Statu quo</i>	-	Deteriorated	<i>Statu quo</i>	<i>Statu quo</i>	2	2	0	1	0	2
F16	1/2	-	-	Deteriorated ⁴	Improved	Deteriorated	NA	0	0	0	NS	NS	2
F17	1/2	-	-	NA	-	-	NA	2	NS	-	NS	NS	NS
F18	1/1	<i>Statu quo</i> ⁴	<i>Statu quo</i>	-	Improved	Improved	NA	2	1	2	NS	NS	2

890 1: ADG = Average Daily Gain

891 2: FCR = Feed Conversion Ratio

892 3: DDDvet = Defined Daily Dose for animals of antimicrobials. DDDvet were only considered to describe the evolution of health disorders when antimicrobials were administrated to animals for
893 the identified health disorders

894 4: Definition of improved, statu quo, deteriorated: see Table 2

895 5: Indicator was not considered to assess tailor-made health plan effectiveness because its evolution was not biologically linked to the targeted health disorder evolution. In particular, DDDvet
896 were only selected to assess effectiveness when there was an initial antimicrobial use to cure the targeted health disorder

897 6: NA = Not Available. Indicators were selected to assess effectiveness but observations could not be performed during visits or data could not be provided by farmers and/or veterinarians

898 7: NS = No scoring since indicators were not available or presented no room for improvement at the first visit

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APPENDIX

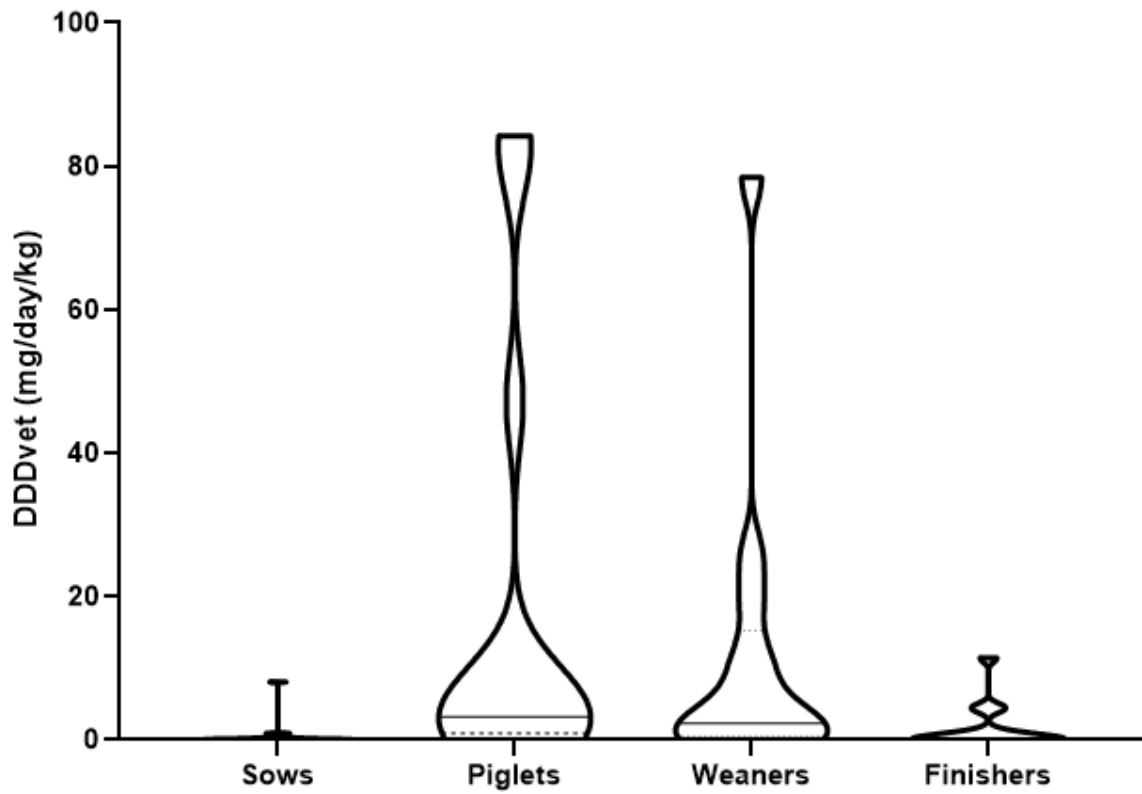


Figure A1: Distribution of farm Defined Daily Dose for animals (DDDvet) for each group of animals (n=12 farms): sows, suckling piglets, weaners and finishers. Violin plots including medians (plain lines) and first and third quartiles (dotted lines). The first quartile was the selected cut-off value to define the presence of a room for improvement (*i.e.* a DDDvet value higher than first quartile for each physiological stage).

Table A1: Mean and standard-deviation of technical performance indicators in farms the year before the intervention and the on-going year after intervention

	Number of farms with available data	Mean \pm standard deviation	
		Before	After
Number of piglets weaned / productive sow / year	15	30.7 \pm 3.3	31.5 \pm 3.6
ADG¹ wean-to-finish (g/day)	12	718.3 \pm 56.8	718.7 \pm 62.0
FCR² wean-to-finish (kg/kg)	12	2.5 \pm 0.3	2.5 \pm 0.2
Mortality post-weaning (%)	11	4.0 \pm 4.6	3.9 \pm 4.0
Mortality fattening (%)	10	3.3 \pm 1.9	3.6 \pm 1.2

1: ADG = Average Daily Gain

2: FCR = Feed Conversion Ratio

Table A2: Description of identified health disorders in farms at visit 1 and of the evolutions of indicators related to health disorders

Farm	Health disorder	Animals concerned	Indicator Visit 1 – Visit 3						
			Cough Number / 2 minutes / 100 animals	Sneeze Number / 2 minutes / 100 animals	Feces score % scores 3 + 4	ADG ¹ g/day	FCR ² kg/kg	DDDvet ³ mg/day/kg/1000 animals	Missing indicator ⁴
F1	Cough and sneeze	Post-weaning piglets	56.0 - 0.0	14.0 - 1.4	/ ⁵	NA ⁶	NA	/	/
F3	Cough and sneeze	Post-weaning piglets	13.8 - 2.7	22.3 - 2.2	/	766 - 746	2.24 - 2.29	/	/
F4	Ileitis	Fattening pigs	/	/	0 - 0	NA	NA	4.5 – 17.3	/
F6	Diarrhoea	Suckling piglets	/	/	50 - 0	/	/	2.7 – 3.3	/
F8	Diarrhoea	Suckling piglets	/	/	0 – 0	/	/	81.0 – 168.5	/
F9	Neurologic and locomotor disorders related to <i>Streptococcus suis</i>	Post-weaning piglets	/	/	/	731 - 714	2.44 - 2.39	5.3 – 4.0	Clinical observation of locomotor and neurologic disorders
F10a	Porcine Respiratory and Reproductive Syndrom	Fattening pigs	1.0 – 0	19.4 – 6.1	/	NA	NA	/	/
		Gestating sows	/	/	/	/	/	/	Numbers of born dead, abortion
F10b	Diarrhoea	Suckling piglets	/	/	100 - 0	/	/	0.4 – 0.9	/
F11	Ileitis	Fattening pigs	/	/	0 - 0	NA	NA	NA	/
F14	Tail biting	Post-weaning piglets and fattening pigs	/	/	/	NA	NA	/	Clinical observation of the severity of tail biting
F15	Cough and sneeze	Post-weaning piglets	10.6 - 0.3	3.2 - 3.9	/	742 - 718	2.25 - 2.28	3.2 – 3.0	/
F16	Diarrhoea	Post-weaning piglets	/	/	12.5 - 77.8	733 - 766	2.18 - 2.30	NA	/
F17	Diarrhoea	Suckling piglets	/	/	NA	/	/	NA	/
F18	Cough	Fattening pigs	35.6 - 12.9	6.2 - 6.4	/	710 - 721	2.76 - 2.61	NA	/

1: ADG = Average Daily Gain

2: FCR = Feed Conversion Ratio

3: DDDvet = Defined Daily Dose for animals of antimicrobials.

4: Indicator that were not monitored in this study could be required to describe the identified health disorders

5 : Indicator not selected since its evolution could not be biologically explained by the health disorder evolution. Regarding DDDvet, their values were only considered to describe the evolution of health disorders when antimicrobials were administrated to animals for the identified health disorders before the intervention

6: NA = Not assessed since animals could not be observed at the time of the visit or because data could not be provided by farmers and/or veterinarians

Biosecurity Risk Analysis Tool (BEAT) - Pig farms - Healthy Livestock



Introduction

This draft Risk Analysis Tool is based on literature review of risks for major French and Italian pig diseases. The format anticipates on the format of the health plans to be worked out, which will according to the description based on the FAO risk zoning (red-orange-green).

Farm characteristics

Name company/farmer:

Adress, residence:

nr. pig houses/nr. pig per house:

Guideline to veterinarian and pig farmer

Step 1 Define on-farm risk zones

Download a Google Earth map of the farm location and color the risk zones (red-orange-green)

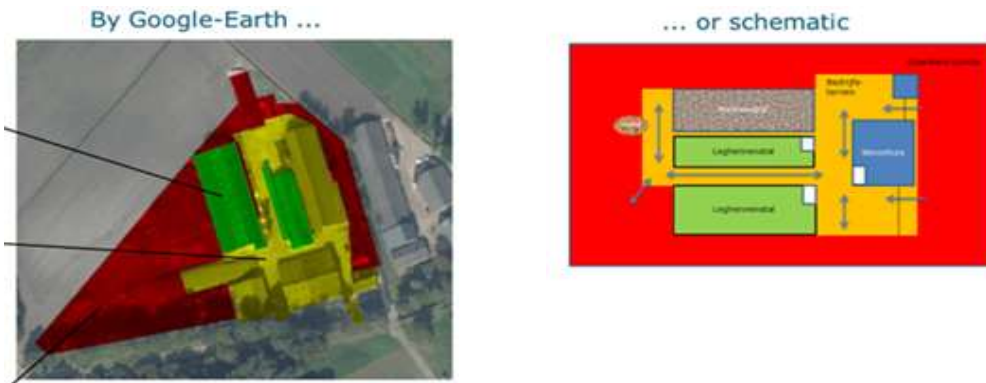
Make a schematic drawing of the farm location and color the risk zones, and identify the buildings, stables, storage sites, pathways et cetera.

Example

Green zone = pig houses and entree rooms: clean, strictly isolated, restricted access

Orange zone = paved surfaces and functional farm areas: biosecurity measures to reduce contamination with foreign manure to medium/low risk

Red zone = external areas (unpaved roads, ditches, pasture, etc.): high risks, farmers acting opportunities)



Step 2 Go through the risk analysis tool

Answer the questions belonging to the different zones and transition lines between zones (see tabs) and score the risk. The sections 'TRANSITION ORANGE-GREEN ZONE' and 'GREEN ZONE' should be filled out for each pig house on the farm

Step 3 Interpretation

In the tab "Overall scores" at the end of the file, allow to show an overview of scores per zone. Veterinarian and farmer: Analyze together the automatically generated scores and discuss: where are opportunities for improvements?

Step 4 Health plan

Make an action plan with SMART formulated preventative actions for strenghtening of on-farm biosecurity

NB: * in the following pages refers to the following caption : write NA for non applicable constitions

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Biosecurity in the red zone (public zone)

	Risk Factors	Objective	Conditions	Means in place to reach the objective	Score^a: 1 no risk or under control / 0,75 low risk / 0,25 moderate risk / 0 high risk	Major improvement needed	Is it critical in this farm (yes/no)
1	Neighbourhood activities	Awareness of at-risk situation due to neighbourhood	Pig density in the area - average pig density at municipality level >300 pigs/km ² : no score 1; yes score 0				
2			Distance to other pig farms: >3km score 1; 1 to 3 km score 0.75; 0.5 to 1 km score 0.25, 0.5km score 0				
3			Abattoir close to the farm - distance: >3km score 1; 1 to 3 km score 0.75; 0.5 to 1 km score 0.25, 0.5km score 0				
4			Road with frequent pig transport close to the farm - distance: >3km score 1; 1 to 3 km score 0.75; 0.5 to 1 km score 0.25, 0.5km score 0				
5			Wild boars spotted in the neighborhood within a radius of 10 km: no score 1; yes score 0				
6	External vehicles	To maintain in the public zone vehicles and persons with no necessary access to the professional zone	Parking for staff and visitors in the public zone: yes score 1; no score 0				
7			Separate access ways for rendering plant trucks: yes score 1; no score 0				
8			Separate access for feed supply: yes score 1; no score 0				
9			Separate access for manure elimination: yes score 1; no score 0				
10	Dead animals	To reduce load of pathogens associated with elimination of dead animals	Storage of cadavers in the public zone: yes score 1; no score 0				
11			Frequency of elimination of cadavers from the farm adapted to the storage: yes score 1; no score 0				
12			Cleaning and disinfection of the storage equipment after every cadaver collection: yes score 1; no score 0				

^awrite NA in column F if not applicable

(higher score is less risk)

(max= 12 if all points applicable. Otherwise max score is calculated in F18)

OVERALL BIOSECURITY SCORE RED ZONE:

0

Maximum possible score

0

Percentage of maximum score:

#DIV/0!

Bioresecurity in the transition between the red zone (public zone) and the orange zone (professional zone)

	Risk Factors	Objective	Conditions	Means in place to reach the objective	Score ^a : 1 no risk or under control / 0,75 low risk / 0,25 moderate risk / 0 high risk	Major improvement needed	Is it critical in this farm (yes/no)
1	Contamination from truck and visitors	To prevent contamination of the professional zone by trucks and visitors	Arrival sign: yes score 1; no score 0				
2			Access exclusively for pig transport vehicles: yes score 1; no score 0				
3			Access limited to in-advance-thoroughly-cleaned-and-disinfected transport vehicles: yes score 1; no score 0				
4			Cleaning and disinfection of tires before entering the orange zone (all transports): yes score 1; no score 0				
5			Truck platform equipped with fixed or manual equipment for wheels, lateral and undersides vehicles disinfection: yes score 1; no score 0				
6			Presence of a platform to house temporarily and load pigs for slaughter: yes score 1; no score 0				
7			Cleaning and disinfection of the platform after each delivery: yes score 1; no score 0				
8	Contamination by wildlife	To prevent contamination of the professional zone by wildlife	Delimitation of the professional zone to prevent access of wild animals (e.g. perimetral fence against wild boars): yes score 1; no score 0				
9	Contamination by staff in charge of elimination of dead animals	To prevent contamination by staff in charge of elimination of dead animals in the public zone	Specific clothes and shoes for staff to eliminate dead animals in the public zone: yes score 1; no score 0				
10			Cleaning and disinfection of the material used to transfer dead animals in the public zone: yes score 1; no score 0				
11			Cleaning and disinfection of the shoes after transfer of dead animals in the public zone: yes score 1; no score 0				
12			Hand washing after transfer of dead animals in the public zone: yes score 1; no score 0				
13	Staff and visitors	To prevent introduction of diseases by staff and visitors entering the farm	Well located hygiene lock with dirty and clean area available: yes score 1; no score 0				
14			Provision of the hygiene lock with company footwear or overshoes: yes score 1; no score 0				
15			Provision of the hygiene lock with company clothes/overall: yes score 1; no score 0				
16			Provision of the hygiene lock with hand hygiene facilities: yes score 1; no score 0				
17			Provision of the hygiene lock with one or more showers: yes score 1; no score 0				
18			Provision of the hygiene lock with adequate hygiene Standard Operating Procedure for visitors / employees / farmer available: yes score 1; no score 0				
19			Correct use of hygiene lock provisions by farm workers: yes score 1; no score 0				
20			Correct use of hygiene lock provisions by visitors: yes score 1; no score 0				
21	Unnecessary access	To avoid unnecessary access to the professional zone	Clear delimitation of the professional zone: yes score 1; no score 0				
22			No access of the public to the orange zone: no access score 1; possible access score 0				
23			No access of trucks eliminating dead animals: no access score 1; possible score 0				
24			Availability of a visitors' register mentioning a period of at least 12 hours between two pig farm visits: yes score 1; no score 0				

^awrite NA in column F if not applicable

(higher score is less risk)

(max= 24 if all points applicable. Otherwise max score is calculated in F36 = applicable points x 4)

OVERALL BIOSECURITY SCORE TRANSITION ZONE R-O: |

0

Maximum score

0

Percentage of maximum score: |

#DIV/0!

Biosecurity in the orange zone (professional zone)

	Risk Factors	Objective	Conditions	Means in place to reach the objective	Score ^a : 1 no risk or under control / 0,75 low risk / 0,25 moderate risk / 0 high risk	Major improvement needed	Is it critical in this farm (yes/no)
1	Contamination by wildlife	To prevent contamination of the professional zone by wildlife	Protocols for control of rodents: protocol + registered treatments score 1; no protocol or no register for treatments score 0				
2			Protocols for control of insects (protocol + registered treatments score 1; no protocol or no register for treatments score 0)				
3	Contamination by manure	To prevent contamination by the manure	Manure storage separated from the pig houses: yes score 1; no score 0				
4			Possible contamination from slurry tanks to pig houses during transfer and storage of manure: no score 1; yes score 0				
5	Pathogen persistence	To prevent persistence of pathogens in the professional zone	Stored material providing shelter for rodents and parasites: no score 1; yes score 0				
6			Washable surface and flooring combined with high pressure water: yes score 1; no score 0				
7	Contamination by staff storing dead animals	To prevent contamination by staff in charge of storing dead animals in the professional zone	Specific gloves, clothes and shoes for staff to transfer and store dead animals in the professional zone: yes score 1; no score 0				
8			Cleaning and disinfection of the material used to transfer dead animals in the professional zone: yes score 1; no score 0				
9			Cleaning and disinfection of shoes after the transfer of dead animals in the professional zone: yes score 1; no score 0				
10			Hand washing and disinfection after the transfer of dead animals in the professional zone: yes score 1; no score 0				
11			Daily elimination of cadavers from the professional zone: yes score 1; no score 0				
12			Cleaning and disinfection of the storage equipment after every cadaver collection: yes score 1; no score 0				

^awrite NA in column F if not applicable

(higher score is less risk)

(max= 12 if all points applicable. Otherwise max score is calculated in F36 = applicable points)

OVERALL BIOSECURITY SCORE ORANGE ZONE: |

0

Maximum score

0

Percentage of maximum score:

#DIV/0!

Biosecurity at the transition between the orange zone (professional zone) and the green zone (livestock zone)

Pig house¹ nr:

Risk Factors	Objective	Conditions	Means in place to reach the objective	Score ^a : 1 no risk or under control / 0,75 low risk / 0,25 moderate risk / 0 high risk	Major improvement needed	Is it critical in this farm (yes/no)
1	Pathogens from purchased animals To prevent pathogen introduction by animals introduced into the herd	Origin of animals: Specific Pathogen Free farms score 1; from a unique farm score 0.75; from more than one known farm score 0.25; from more than one unknown farm score 0				
2		Position of the quarantine in the farm (distance from other pig houses >120 m score 1; from 60 to 120 m score 0.75; from 30 to 60 m score 0.25; <30 m score 0				
3		Conditions of quarantine (duration at least 30 d, daily observation, cleaning and disinfection after each batch): yes score 1; no score 0				
4	Pathogens from other purchases To prevent introduction of pathogens by other purchases	Facilities for delivery in the livestock zone: room available to store temporarily and check materials score 1; no room available score 0				
5		Origin of purchased goods (to be listed and assessed): risk under control score 1; possible introduction of pathogens score 0				
6	Pathogens from shared equipment To prevent introduction of pathogens by shared equipment entering the farm	Use of equipment shared between farms: no score 1; yes score 0				
7		Presence of a room, disinfectants and a Standard Operating Procedure for disinfection of shared equipment: yes score 1; no score 0				
8	Pathogens from staff or visitors To prevent introduction of pathogens by staff/visitors	Contacts of staff with other pig farms: no score 1; yes score 0				
9		Entree room available, with clear dirty and clean areas, as hygiene lock at the entrance of the pig houses for farrowing or weaning or quarantine: yes score 1; no score 0				
10		Specific footwear available at the entrance of the pig house: yes score 1; no score 0				
11		Specific clothes/overalls available at the entrance of the pig house: yes score 1; no score 0				
12		Hand hygiene facilities available at the entrance of the pig house: yes score 1; no score 0				
13		Barn hygiene protocol available for visitors / employees / farmer: yes score 1; no score 0				
14		Correct use of provisions at the entrance of the pig house by farm workers: yes score 1; no score 0				
15		Correct use of entree room at the entrance of the pig house provisions by visitors: yes score 1; no score 0				
16	Unnecessary access to the livestock zone No unnecessary access to the livestock zone	No unnecessary access of persons: no access score 1; access score 0				
17		No unnecessary of domestic animals: no access score 1; access score 0				
18		Presence of anti-bird nets: yes score 1; no score 0				
19		Presence of anti-insect screens: yes score 1; no score 0				

^awrite NA in column F if not applicable

(higher score is less risk)

(max=19 if all applicable conditions. Otherwise max score is calculated in F36 = applicable points)

To be completed for each pig house on the farm

OVERALL BIOSECURITY SCORE TRENITION ZONE O-G: |

0

Maximum score

0

Percentage of maximum score:

#DIV/0!

Biosecurity in the green zone (livestock zone)

Pig house¹ nr: ...

	Risk factors	Objectives	Conditions	Means in place to reach the objective	Score*: 1 no risk or under control / 0,75 low risk / 0,25 moderate risk / 0 high risk	Major improvement needed	Is it critical in this farm (yes/no)
1	Animal contact between age groups	To prevent transmission of pathogens between age groups by animal contacts	Strict separation between housing for different age groups: yes score 1; no score 0				
2			No mixing between batches in the farrowing, weaning and fattening sectors: yes score 1; no score 0				
3	Animal contact with contaminated premises	To prevent transmission of pathogens between age groups by premises	Standard Operating Procedures available and applied for "all out" cleaning, disinfection and duration of the empty period: yes score 1; no score 0				
4			Cleaning and disinfection of corridors and transfer zones after any animal transfer to prevent contamination of animals: yes score 1; no score 0				
5	Animal contact with contaminated staff	To prevent transmission of pathogens between age groups by staff	One-way organisation of work from the most susceptible to the most infectious animals (or separate sectors and staff): yes score 1; no score 0				
6			Change of clothes/overalls and footwear/overshoes between sectors: yes score 1; no score 0				
7			Change of gloves or hand washing and disinfection after handling diseased animals: yes score 1; no score 0				
8			Training of staff on the biosecurity Standard Operating Procedures: yes score 1; no score 0				
9	Animal contact with contaminated materials	To prevent transmission of pathogens between animals by materials and intervention	Suitable manipulable materials for environmental enrichment according to Recommendation (EU) 2016/336. Take note of the type of material (e.g. whole straw, chopped straw, hard wood, soft wood, rope of natural fibre, metal chain), quantity in kg/pig/day and frequency of distribution: yes score 1; no score 0				
10			Materials, movable equipment and tools specific to the different age groups: yes score 1; no score 0				
11			Cleaning and disinfection of materials, movable equipment and tools shared between sectors: yes score 1; no score 0				
12			Cleaning and disinfection of tools for interventions on piglets after birth in the farrowing sector: yes score 1; no score 0				
13			Dedicated injection needles for each age group of pigs or for every 10 heads individually housed (i.e. newly pregnant sows): yes score 1; no score 0				
14	High load of pathogens	To reduce the risk of exposure to high loads of pathogens	Regular cleaning of housing at all stages other than all in all out: yes score 1; no score 0				
15			Animal density of suckling, weaning, growing and fattening pigs, adapted to the weight of the pigs (see the "scoring instructions" and take note of the type of pen floor inside the pig house: fully slatted floor, partially slatted floor, solid floor): lowest score of all stages				
16			Management of diseased animals to reduce contact with healthy animals (availability and use of hospital pens): yes score 1; no score 0				
17			Shower and parasite treatments of sows before entering the farrowing room: yes score 1; no score 0				
18	Heterogeneous herd immunity	To reduce at-risk situations due to heterogeneous herd immunity	Management of gilts before introduction into the herd with a conlamination period in quarantine: yes score 1; no score 0				
20			Constitution of batches of sows with grouped farrowing note interval between batches): yes score 1; no score 0				
21			Constitution of pens of weaners and fattening pigs from full litters: yes score 1; no score 0				
22			Vaccination plan (consistent between consecutive batches in the medium and long term): yes score 1; no score 0				
23			Check access and intake colostrum by piglets to in the farrowing sector: yes score 1; no score 0				
24	Contaminated feed or water or enrichment material	To prevent contaminated feed or water or enrichment material	Controlled origin and regular quality checks of feed: yes score 1; no score 0				
			Regular quality checks of drinking water: at least yearly for water sampled at drinkers score 1; at least yearly for water sampled at source score 0,75; otherwise score 0				
25			Controlled conditions for conservation of feed including no access of rodents (inclusion of the pig house in the rodent control plan): yes score 1; no score 0				
26			Frequent cleaning of water supply equipments (take note of how and how often): yes score 1; no score 0				
27			Regular cleaning and disinfection of waterpipes and reservoirs: yes score 1; no score 0				
28			Concentrate feeds are salmonella free: yes score 1; no score 0				
29			Storage of materials on farm for at least 3 months before use (e.g. enrichment material like straw, wood): yes score 1; no score 0				
30			No use of food waste(e.g. enrichment material like straw, wood): no use score 1; use score 0				

*write NA in column F if not applicable

(higher score is less risk)

(max= 30 for all applicable conditions. Otherwise max score is calculated in F36 = applicable points)

To be completed for each pig house on the farm

OVERALL BIOSECURITY SCORE GREEN ZONE:

0

Maximum score

0

Percentage of maximum score:

#DIV/0!

Overall farm scores on biosecurity regarding the zones and transition lines between the zones

Final version 2023/03/21

Zones and transition lines	FARM SCORES	
	% of maximum score	(higher % is less risk)
RED ZONE	0%	
Transition line Red-Orange	0%	
ORANGE ZONE	0%	
Transition line Orange-Green	0%	
GREEN ZONE	0%	
Farm average score	0%	

APPENDIX 1: Instructions for scoring Animal density (Green zone sheet - line 15)

Space allowance m²/head				
Scores	0	0.25	0.75	1
Pig category and live weight				
Piglets <10kg LW	<0,15	0,15-0,17	0,17-0,22	>0,22
Weaners 10-20 kg LW	<0,20	0,20-0,27	0,27-0,35	>0,35
Weaners/Growers 20-30 kg	<0,30	0,30-0,35	0,35-0,46	>0,46
Growers 30-50 kg	<0,40	0,40-0,50	0,50-0,65	>0,65
Growers/Fatteners 50-85 kg	<0,55	0,55-0,71	0,71-0,92	>0,92
Fatteners 85-110 kg	<0,65	0,65-0,84	0,84-1,10	>1,10
Fatteners 110-140 kg	< 1,00	1,00-1,12	1,12-1,29	>1,29
Fatteners over 140 kg	<1,00	1,00-1,29	1,29-1,47	>1,47